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Date: August 11, 2006

By:

Name: Caio A. Arellano

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: PUJARE *ET AL.*

APPLICATION NO.: 09/826,607

FILED: APRIL 5, 2001

FOR: **CONVENTIONALLY CODED APPLICATION
CONVERSION SYSTEM FOR STREAMED
DELIVERY AND EXECUTION**

EXAMINER: SHIN, KYUNG H.

ART UNIT: 2143

CONF. NO: 4038

**Information Disclosure Statement After Final Action or Notice of
Allowance but Before Payment of Issue Fee – 37 C.F.R. § 1.97(d)**

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned submits the following references without admitting that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art. The undersigned, in an effort to focus the scope of this review, has identified the following subset of references as **most relevant** to the subject invention:

U.S. PATENT DOCUMENTS

Cite No.	U.S. Patent of Application Number	Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document
72	7,051,315	Artzi et al	5/23/2006
73	7,062,567	Benitez et al	6/13/2006
74	10/023,915	De Vries et al	12/14/2001

75	11/274,442	De Vries et al	11/14/2005
76	11/371,627	De Vries et al	3/8/2006
77	11/388,381	De Vries et al	3/23/2006
79	US2002/0081383	Pujare et al	6/27/2002
80	US2003/0056112	Vinson et al	3/20/2003
81	US2005/0193139	Vinson et al	9/1/2005
82	US2006/0048136	De Vries et al	3/2/2006
83	US2006/0106770	De Vries et al	5/18/2006
84	US2006/0123185	De Vries et al	6/8/2006
85	US2006/0136389	Cover et al	6/22/2006

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Cite No.	Office	Foreign Patent or Application Number	Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document
86	WO	2006/022745 A2	De Vries et al	3/2/06
87	WO	2006/047133 A2	De Vries	5/4/06
88	WO	2006/055445 A2	De Vries et al	5/26/06
88	PCT	US06/10637	De Vries et al	3/22/06
90	PCT	US06/10904	De Vries	3/23/06

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

ite No.	Name of the author, title of the article, title of the item, date, page(s), volume issue number(s), publisher, city and/or country where published
95	FIEDLER et al, UNIX System V, Release 4 Administration, Second Edition, 1991, 1-13, 37-116, 152-153, 175-200, 291-312, Hayden Books, Carmel, Indiana, USA

96	MULLENDER et al, Amoeba: A Distributed Operating System for the 1990s, Computer Magazine, May 1990, 44-53, 23(5)
97	NAKAYOSHI et al, A Secure Private File System with Minimal System Administration, Communications, Computers and Signal Processing, 1997 IEEE Pacific Rim Conference, 251-255, Vol. 1
98	O'MAHONY, Donal, Security Considerations in a Network Management Environment, 1994, 12-17, Vol. 8, IEEE, USA

1. Timing of Submission

This information is being filed *after* a final Office action or a Notice of Allowance, whichever occurs first, but before payment of the Issue Fee.

2. Petition – 37 C.F.R. § 1.97(d)

Applicant petitions the Patent and Trademark Office to accept this Information Disclosure Statement in light of payment of the fee under 37 C.F.R. § 1.97(d)(2).

3. Cited Information

☒ Copies of the following references are enclosed:

- ☐ All cited references
- ☐ References marked by asterisks
- ☒ The following: 74-77,79-101

4. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

5. Fee Payment – 37 C.F.R. § 1.97(d)(2)

- ☒ Check enclosed for \$180.00 Information Disclosure Statement Fee as set forth in 37 C.F.R. §1.17(p).
- ☒ Please charge any underpayment for timely filing of this paper to Deposit Account No. 50-2207.

Respectfully submitted,
Perkins Coie LLP



William F. Ahmann
Registration No. 52,548

Date: August 11, 2006

Correspondence Address:

Customer No. 22918
Perkins Coie LLP
P.O. Box 2168
Menlo Park, California 94026
(650) 838-4300



**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Form PTO-1449 (Modified)
(Use several sheets if necessary)

COMPLETE IF KNOWN

Application Number	09/826,607
Confirmation Number	4038
Filing Date	April 5, 2001
First Named Inventor	Pujare
Group Art Unit	2143
Examiner Name	Shin, Kyung H.
Attorney Docket No.	30126-8013.US01

Sheet 1 of 5

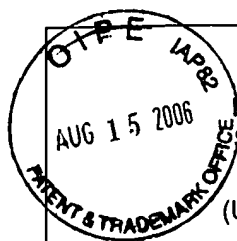
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Examiner Initials*	Cite No.	U.S. Patent or Application		Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		NUMBER	Kind Code (if known)			
	1	5,063,500		Shorter, David U.	11/5/91	
	2	5,109,413		Comeford et al	4/28/92	
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EXAMINER

DATE CONSIDERED

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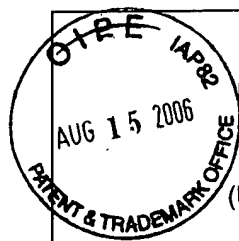
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Examiner Name	Shin, Kyung H.
Attorney Docket No.	30126-8013.US01

Sheet 3 of 5

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	79	US2002/0083183		Pujare et al	6/27/02	
	80	US2003/0056112		Vinson et al	3/20/03	
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	82	US2006/0048136		De Vries et al	3/2/06	
	83	US2006/0106770		De Vries et al	5/18/06	
	84	US2006/0123185		De Vries et al	6/8/06	

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				Confirmation Number	4038
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	85	US2006/0136389		Cover et al	6/22/06	

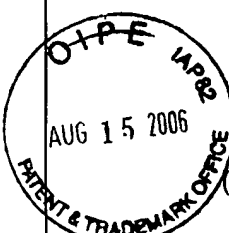
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	86	WO	2006/022745	A2	De Vries et al	3/2/06		
	87	WO	2006/047133	A2	De Vries	5/4/06		
	88	WO	2006/055445	A2	De Vries et al	5/26/06		
	89	PCT	US06/10637		De Vries et al	3/22/06		
	90	PCT	US06/10904		De Vries	3/23/06		

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	T
	91	BONEH et al, An Attack on RSA Given a Small Fraction of the Private Key Bits, Advances in Cryptology—ASIACRYPT '98, Lecture Notes in Computer Science, 25-34, V.1514, Springer-Verlag Berlin Heidelberg, retrieved online on 6/15/06 at http://crypto.stanford.edu/~dabo/abstracts/bits_of_d.html	
	92	BINTO, GEORGE et al, Secure Transaction Processing in Firm Real-Time Database Systems, SIGMOD International Conference on Management of Data 1997, 462-473, V26, Issue 2, Association for Computing Machinery (ACM) Press, Tucson, Arizona, United States	
	93	CHU, et al, REFEREE: Trust Management for Web Applications, Proceedings of the Sixth International World Wide Web Conference, 1997, retrieved online on 6/15/06 at http://www.si.umich.edu/~presnick/papers/Referee/www6-referee.html	
	94	FAUPEL, Status of Industry Work on Signed Mobile Code, Joint European Networking Conference (JENC), May 1997, 313-1-313-8	
	95	FIEDLER et al, UNIX System V, Release 4 Administration, Second Edition, 1991, 1-13, 37-116, 152-153, 175-200, 291-312, Hayden Books, Carmel, Indiana, USA	

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	96	MULLENDER et al, Amoeba: A Distributed Operating System for the 1990s, Computer Magazine, May 1990, 44-53, 23(5)					
	97	NAKAYOSHI et al, A Secure Private File System with Minimal System Administration, Communications, Computers and Signal Processing, 1997 IEEE Pacific Rim Conference, 251-255, Vol. 1					
	98	O'MAHONY, Donal, Security Considerations in a Network Management Environment, 1994, 12-17, Vol. 8, IEEE, USA					
	99	PYARALI et al, Design and Performance of an Object-Oriented Framework for High-Speed Electronic Medical Imaging, Fall 1996, Computing Systems Journal, 331-375, Vol. 9 No.4, USENIX, retrieved online on 6/15/06 at http://www.cs.wustl.edu/~schmidt/PDF/COOTS-96.pdf					
	100	REINHARDT, ROBERT B., An Architectural Overview of UNIX Network Security, ARINC Research Corporation, Sept. 19, 1992, retrieved online on 6/15/06 at http://www.clusit.it/whitepapers/unixnet.pdf .					
	101	TARDO & VALENTE, Mobile Agent Security and Telescript, 4 th International Conference of the IEEE Computer Society (IEEE CompCon1996), February 1996					

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